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PTO/SB/05 (4/98)

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Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## PATENT APPLICATION TRANSMITTAL

First Inventor or Application Identifier Dimitri Kanevsky

System and Method for Providing a ...

Express Mail Label No. EL484185262US Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

	PPLICATION ELEMENTS apter 600 concerning utility patent application co	ntents.	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application  Washington, DC, 20231	9/6(		
	ee Transmittal Form (e.g., PTO/SB/17)		Microfiche Computer Program (Appendix)	,		
. (Su X Sp. (pro - D - C - S - R - B - D - C - A - A - D - C - A - B - D - C - A - A - D - C - A - A	ee Transmittal Form (e.g., PTO/SB/T/)  sibmit an original and a duplicate for fee process ecification [Total Pages] elerred arrangement set forth below) escriptive title of the Invention cross References to Related Applications statement Regarding Fed sponsored R & I deference to Microfiche Appendix eackground of the Invention enef Summary of the Invention enef Description of the Drawings (if filed) etailed Description claim(s) ebstract of the Disclosure eawing(s) (35 U.S.C. 113) [Total Sheets]  W Newly executed (original or copy)  Copy from a prior application (37 C.F. (for continuation/divisional with Box 16 co i. DELETION OF INVENTOR(S) Signed statement attached inventor(s) named in the prior see 37 C.F.R. §§ 1.63(d)(2) a	49]  F.R. § 1.63(d))  mpleted)  deleting application, and 1.33(b).  mMALL ENTITY 27, EXCEPT	5. Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  7. X Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney 9. English Translation Document (if applicable) 10. X Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Statement (IDS)/PTO-1449  11. Preliminary Amendment 12. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. Statement(s) Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. Other:			
Prior app	ontinuation Divisional Continua plication information: Examiner JATION or DIVISIONAL APPS only: The entire is considered a part of the disclosure of the a the incorporation can only be relied upon who	tion-in-part (CIP)  disclosure of the ccompanying cone a portion has t	Group / Art Unit:			
	17. CORR	ESPONDENC	CE ADDRESS			
Custom	ner Number or Bar Code Label (Insert Custon	er No. or Attach b	or X Correspondence address below bar code label here)			
Name	Paul J. Farrell					
Address Dilworth & Barrese 333 Earle Ovington Blvd.						
City	Uniondale		NY			
Country	U.S. Tel	ephone (5°	516) 228-8484   Fax   (516) 228-8516			
Name (F	Print/Type) Paul μ Farrell		Registration No. (Attorney/Agent) 33,494			
Signatur	· faul I-faull		Date 9/14/00			
osited with t	ON UNDER 37 C.F.R. 1.10 I her	elow in an enve	this correspondence and the documents referred to as enclosed are relope as "Express Mail Post Office to Addressee" Mail Label Numb ox Patent Offication, Washington, D.C. 20231.	e b er		

Dated: September 14, 2000

PTO/SB/17 (12/99)
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## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTOISBI09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 2,566.00

Complete if Known			
Application Number			
Filing Date		_	
First Named Inventor	Dimitri Kanevsky		
Examiner Name			
Group / Art Unit			
Attorney Docket No.	678-172		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit	Larg Fee	DDIT e Entity Fee	y Sma Fee		ity Fee Description Fee Paid	
Account Number 50-0510/IBM (Yorktown Heights)	105	130	205	65	Surcharge - late filing fee or oath	11
Deposit Account IBM	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	1
Name	139	130	139	130	Non-English specification	┨┃
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147	2,520	147	2,520		<b>-</b>
	112	920*	112	920*	Requesting publication of SIR prior to .  Examiner action	]
2. Payment Enclosed:  Check Order Other	113	1,840*	113	1,840	Examiner action	}
FEE CALCULATION	115	110	215	55	Extension for reply within first month	4
1. BASIC FILING FEE	116	380		190	Extension for reply within second month	┨ ┃
Large Entity Small Entity	117	870	217		Extension for reply within third month	┨ '
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid		1,360	218		Extension for reply within fourth month	†
104 000 004 045 1 Hills 5line for	128	1,850	228		Extension for reply within fifth month	1
101 690 201 345 Outlity filling fee \$690.00	119	300	219		Notice of Appeal Filing a brief in support of an appeal	†
107 480 207 240 Plant filing fee	120	300	220		Request for oral hearing	1
108 690 208 345 Reissue filing fee	121	260	221		Petition to institute a public use proceeding	1
114 150 214 75 Provisional filing fee		1,510		1,510	Petition to revive - unavoidable	1
SUBTOTAL (1) (\$) 690.00	140	110	240	55 605	Petition to revive - unintentional	1
30210112(1) (\(\psi\))		1,210 1,210	241 242		Utility issue fee (or reissue)	-
2. EXTRA CLAIM FEES Fee from F	143	430		215	Design issue fee	┪
Extra Claims below Fee Paid  Total Claims 83 -20" = 63 X \$18 = \$1,134	144	580	244	290	Plant issue fee	┨
Independent 12 - 3** = 9 x \$78 = \$702	122	130	122	130	Petitions to the Commissioner	1
Claims 12 Claims	123	50	123	50	Petitions related to provisional applications	1
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt	1
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties) \$40	1
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection	┪
102 78 202 39 Independent claims in excess of 3	149	690	249	345	(37 CFR § 1.129(a))  For each additional invention to be	4
104 260 204 130 Multiple dependent claim, if not paid					examined (37 CFR § 1.129(b))	╛
109 78 209 39 ** Reissue independent claims over original patent	Other	fee (sp	ecify)			╛
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other	fee (sp	ecify)			
SUBTOTAL (2) (\$) 1,836.00	* Red	uced by	Basic	Filing	SUBTOTAL (3) (\$) 40.00	<u>]</u>
SUBMITTED BY Complete (if applicable)					$\Box$	
Registration No. (Attorney/Agent)   33,494   Telephone (516) 228-848			33,494 Telephone (516) 228-8484			

September 14, 2000 Date Signature

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to is enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Land Number EL484185262US

addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C.

Dated: September 14, 2000

**PATENT** 

Atty. Docket No. YOR9-2000-0367 (728-172)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

# Jeens U.S. PT 09/661728

### **UTILITY APPLICATION FEE TRANSMITTAL**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Dimitri Kanevsky; Sara H. Basson; Peter G. Fairweather; and Alexander

Zlatsin

For: SYSTEM AND METHOD FOR PROVIDING A PRINTING CAPABILITY

FOR A TRANSCRIPTION SERVICE OR MULTIMEDIA PRESENTATION

Enclosed are:

[X] 18 page(s) of specification

[X] 1 page(s) of Abstract

[X] 30 page(s) of claims

[X] 4 sheets of drawings [X] formal [] informal

[X] 6 page(s) of Declaration and Power of Attorney

[X] An Assignment of the invention to International Business Machines Corporation

#### **CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date <u>September 14, 2000</u> in an envelope as "Express Mail Post Office to Addressee" Mail Label Number <u>EL484185262US</u> addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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0	This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:						
	APPLICATION NO	(S).:	FILING DATE				
	_1	<u>_</u>					
		<u> </u>					
[] C	[ ] Certified copy of applications						
Cour	itry	Appln. No.	<u>Filed</u>				
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from which priority under Title 35 United States Code, § 119 is claimed [] is enclosed.							
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### **CALCULATION OF UTILITY APPLICATION FEE**

For	Number Filed	Number Extra	Rate	Basic Fee \$690.00
TOTAL CLAIMS	83	63	x 18 =	\$1,134.00
INDEPENDENT CLAIMS	12	9	x 78 =	\$702.00
[] Multiple Dep. Claim			260	\$0
		TOTAL\$2,526.00		

[] Verified Statement of "Small Entity" Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith §.

<sup>\*</sup>Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- [] Check in the amount of \$\sum\_{\text{to cover the}}\$ to cover the [] recording, [X] filing fee(s) are attached.
- [X] Charge fees (\$40.00 for Assignment and \$2,526.00 for filing fee) to Deposit Account No. 50-0510/IBM (Yorktown Heights).
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: September 14, 2000

Paul J./Farrell Reg. No. 33,494

DILWORTH & BARRESE 333 Earle Ovington Blvd. Uniondale, NY 11553 Tel. No. (516) 228-8484 Fax. (516) 228-8516